

H&U124

A-5

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/527,512

INTERNATIONAL APPLICATION NO.
PCT/EP2003/010042INTERNATIONAL FILING DATE
10 September 2003PRIORITY DATE CLAIMED
11 September 2002

TITLE OF INVENTION

Method for the Regeneration of Phosphor-Laden Denox Catalysts

APPLICANT(S) FOR DO/EO/US

Schluttig and Foerster

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13^{ter}.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **ER 993670623US**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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|--|---|--|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5) 10/527,512 | INTERNATIONAL APPLICATION NO. PCT/EP2003/010042 | ATTORNEY'S DOCKET NUMBER H&U124 |
| 23. Other items or information: Copy of Form PCT/DO/EO/905; postcard receipt; certificate of express mailing | | |
| The following fees have been submitted: | | CALCULATIONS PTO USE |
| 24. <input type="checkbox"/> Basic national fee \$300 | | \$ \$0.00 |
| 25. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0 All other situations. \$200 | | \$ \$0.00 |
| 26. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400 All other situations. \$500 | | \$ \$0.00 |
| TOTAL OF 24, 25 and 26 = | | \$ \$0.00 |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) |
| - 100 = | 0 | /50 = |
| | | 0 |
| | | x \$250.00 |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | \$ |
| CLAIMS | NUMBER FILED | NUMBER EXTRA |
| Total claims | 15 - 20 = | 0 |
| Independent claims | 1 - 3 = | 0 |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 | | \$ \$0.00 |
| TOTAL OF ABOVE CALCULATIONS = | | \$ \$0.00 |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | \$ \$0.00 |
| SUBTOTAL = | | \$ \$0.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | \$ \$65.00 |
| TOTAL NATIONAL FEE = | | \$ \$65.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | \$ \$40.00 |
| TOTAL FEES ENCLOSED = | | \$ \$105.00 |
| 01/10/2006 E REY1 00000100 10527512 | | Amount to be \$ |
| 01 FC:2617 65.00 CP | | Amount to be \$ |

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- a. ☒ A check in the amount of \$ \$105.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500867. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.437(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Marlana Titus

NAME

35,843

REGISTRATION NUMBER

January 5, 2006

DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Schluttig and Foerster

Group Art Unit: not known

Application No. 10/527,512

Examiner: not known

Priority claimed from: PCT/EP2003/010042 (filed September 10, 2003) and
German application 102 42 081.5 (filed September 11, 2002)

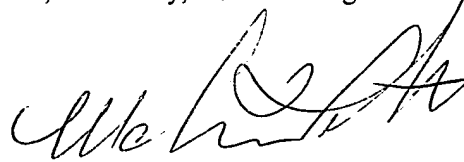
Filed: March 10, 2005

For: Method for the Regeneration of Phosphor-Laden Denox Catalysts

* * * * *
CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 C.F.R. 1.10)

I hereby certify that the following correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 in an envelope addressed to: The Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on **January 5, 2006:**

- (1) Transmittal Letter for to the US Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 (3 pages)
- (2) Declaration and Power of Attorney for Patent Application, signed (3 pages)
- (3) Assignment, signed (2 pages)
- (4) Assignment recordal sheet (1 page)
- (5) PTO Form PCT/DO/EO/905 (2 pages)
- (6) Check for \$105.00 (\$65 for late filing of declaration, small entity, + \$40 for assignment recordal fee)
- (7) certificate of express mailing (1 page)
- (8) postcard receipt listing all enclosed items



Express Mail Mailing
Label Number: ER993670623US

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